

Strictification of weakly stable type-theoretic structures using generic contexts

Rafaël Bocquet  

Eötvös Loránd University, Budapest, Hungary

Abstract

We present a new strictification method for type-theoretic structures that are only weakly stable under substitution. Given weakly stable structures over some model of type theory, we construct equivalent strictly stable structures by evaluating the weakly stable structures at generic contexts. These generic contexts are specified using the categorical notion of familial representability. This generalizes the local universes method of Lumsdaine and Warren.

We show that generic contexts can also be constructed in any category with families which is freely generated by collections of types and terms, without any definitional equality. This relies on the fact that they support first-order unification. These free models can only be equipped with weak type-theoretic structures, whose computation rules are given by typal equalities. Our main result is that any model of type theory with weakly stable weak type-theoretic structures admits an equivalent model with strictly stable weak type-theoretic structures.

2012 ACM Subject Classification Theory of computation → Type theory

Keywords and phrases type theory, strictification, coherence, familial representability, unification

1 Introduction

Type-theoretic structures are usually required to be strictly stable under substitution. However many structures arising from category theory and homotopy theory are only specified up to isomorphism, equivalence or homotopy. They are then only *weakly* stable under substitution. This is for instance the case for the identity types arising from weak factorization systems [1] and for the constructive simplicial model of Gambino and Henry [12]. In order to interpret type theories into such structures, we have to use *strictification theorems* that replace weakly stable structures by strictly stable ones.

Generally, a strictification method is a procedure that constructs, given an input model with weakly stable type structures, another model with stable type structures, connected to the original model via a zigzag of equivalences (for a suitable notion of equivalence). Several strictification methods are known [14, 9, 22, 8, 2], with different constraints on the type theories and models. We recall two of the most general constructions.

Right adjoint splitting: A strictification method [14, 9] due to Hofmann defines a new model \mathcal{C}_* in which types over a context Γ are coherent families of types of the base model \mathcal{C} , indexed by the substitutions $\Delta \rightarrow \Gamma$. This is a *cofree* construction: we pack together all the data that is needed when substituting, along with witnesses that this data is coherent, i.e. that different ways of substituting coincide, up to isomorphism or equivalence.

This method is known to work for extensional type theories, i.e. type theories with the equality reflection rule, but it does not directly apply to most models arising from homotopy theory. In presence of equality reflection it is sufficient to consider families of types that are coherent up to isomorphism. A generalization would need to consider homotopy-coherent families of types and terms, that include coherence conditions in all dimensions. Defining a workable notion of homotopy-coherent family is however not easy. We note that coherence theorems proven in Uemura’s PhD thesis [27] essentially involve such homotopy-coherent families.

Left adjoint splitting/local universes: The local universes method [22] of Lumsdaine and Warren generalizes Voevodsky’s use of universes to obtain stability in the simplicial model [21]. It instantiates the weakly stable structures at suitable *generic contexts*. Strict stability under substitution then follows from the stability of the construction of the generic contexts. In order to ensure the existence of the generic contexts, this strictification method replaces the base model \mathcal{C} by a new model, the *local universes model* $\mathcal{C}_!$, also called the *left adjoint splitting*, in which types over Γ are replaced by triples (V, E, χ) , where (V, E) is a local universe, consisting of a closed context V and of a type E over V , and χ is a substitution from Γ to V . The generic contexts of the type and term formers then only depend on the local universes of the type parameters, but not on the map χ nor on the term parameters; this ensures that they are invariant under substitution. The construction of these generic contexts requires the existence of some local exponentials in the underlying category of the base model. This condition is called condition (LF).

Generic contexts

We present a new general strictification method. Like the local universes method, our method instantiates the weakly stable structures at generic contexts. In the local universes construction, the generic contexts can only depend on the shapes of types, but not on the structure of terms. We give a finer characterization of the (universal) properties required by the generic contexts, using the categorical notion of *familial representability* [4, 5].

If x is an element over a context Γ of a presheaf X (such as the presheaf of types or the presheaf of terms of a given type), a generalization of x is an element x_0 over some context Γ_0 , along with a substitution $\rho : \Gamma \rightarrow \Gamma_0$ such that $x = x_0[\rho]$. A most general generalization is a terminal object in the category of generalizations. When they exist, the most general generalizations of x and $x[\sigma]$ coincide (at least up to isomorphism). The presheaf X is *familially representable* if all of its elements admit most general generalizations (with some additional naturality condition). Equivalently, a presheaf is familially representable when it is a coproduct of a family of representable presheaves.

A weakly stable type-theoretic operation (type or term former) T on a category \mathcal{C} is given by a dependent non-natural transformation $T : \forall(\Gamma : \mathbf{Ob}_{\mathcal{C}})(x : X_{\Gamma}) \rightarrow Y_{\Gamma}(x)$, where X is a presheaf over \mathcal{C} and Y is a dependent presheaf over X . When the presheaf X is familially representable, we can define a natural transformation $T^s : \forall(\Gamma : \mathcal{C}^{\text{op}})(x : X_{\Gamma}) \rightarrow Y_{\Gamma}(x)$ by $T^s(\Gamma, x) \triangleq T(\Gamma_0, x_0)[\rho]$, where $x_0 : X_{\Gamma_0}$ is the most general generalization of x . Here we have defined a strictly stable operation T^s as the instantiation of the weakly stable operation T at the *generic context* Γ_0 .

The presheaves X that may occur as the sources of type-theoretic operations all have a specific shape: they are given by *polynomial sorts*, which are obtained by closing the basic sorts (types and terms) under dependent products (with arities in terms) and dependent sums. They correspond to the objects of the representable map category [26] that encodes the type theory. We say that a model (a category with families) has **familially representable polynomial sorts** when the presheaves of elements of polynomial sorts are all familially representable. Any weakly stable type-theoretic structure over a base model that satisfies that condition can be replaced by a stable type-theoretic structure.

We obtain the following theorem.

► **Theorem 1.** *Let \mathcal{C} be a CwF equipped with weakly stable identity types. If \mathcal{C} has familially representable polynomial sorts, then \mathcal{C} can be equipped with stable identity types that are equivalent to the weakly stable identity types.*

It is straightforward to generalize this construction to any other weakly stable type-theoretic structure.

The condition (LF) of the local universe method [22] implies that the local universe model \mathcal{C}_l has familially representable polynomial sorts; thus the local universe method factors through our method.

Free categories with families

There are models that have familially representable polynomials sorts without satisfying condition (LF). We show that this is the case for all categories with families (CwFs) that are freely generated by some collection of generating types and terms. Freely generated CwFs can also be seen as generalized (i.e. dependently sorted) algebraic theories [6] without equations. Using the terminology of weak factorization systems, the freely generated CwFs can be described as the cellular objects with respect to some set I of CwF morphisms.

Thanks to the absence of equations, free CwFs support *first-order unification*; any two unifiable types, terms or substitutions admit a *most general unifier*. These most general unifiers are used to construct most general generalization for polynomial sorts.

► **Theorem 2.** *If a CwF \mathcal{C} is freely generated (I -cellular), then it has locally familially representable polynomials sorts.*

Strictification of weakly stable weak type-theoretic structures

By the small object argument, every CwF \mathcal{C} admits an I -cellular replacement, which is a freely generated CwF \mathcal{C}_0 equipped with a *trivial fibration* $F : \mathcal{C}_0 \rightarrow \mathcal{C}$. A trivial fibration is a morphism that is surjective on types and terms; in particular it is a kind of equivalence between CwFs. Thus every CwF \mathcal{C} admits an equivalent CwF \mathcal{C}_0 that has familially representable polynomial sorts. Furthermore all type and term formers can be lifted from \mathcal{C} to \mathcal{C}_0 along F , except that definitional equalities cannot be lifted.

In other words, every *weak* type-theoretic structure can be lifted. A weak type-theoretic structure is a type-theoretic structure that is presented without definitional equalities. Typically, their computation rules are specified up to typal equality, rather than up to definitional equality. For example, weak identity types (under the name of propositional identity types) were introduced in [28]. The computation rule of the weak J eliminator is only given by a typal equality $J\beta : \text{Id}(J(d, x, \text{refl}), d)$. Similarly, we can consider weak Π -types, weak Σ -types, etc.

We thus have two ways to weaken the usual presentation of a type-theoretic structure: we can weaken either the stability under substitution and/or the computation rules. In general we may want to compare weakly stable, weakly computational structures with strictly stable, strictly computational structures. As it is hard to do this comparison directly, it has to be split into multiple steps. The present paper provides comparisons between weakly stable, weak and strictly stable, weak structures. There is ongoing work [3] by the author towards coherence theorems that compare strictly stable, weak structures with strictly stable, strict structures.

Combining the previous results, we obtain the following theorem:

► **Theorem 3.** *Let \mathcal{C} be a CwF with weakly stable weak identity types. Then there exists a CwF \mathcal{D} with stable weak identity types and a trivial fibration $F : \mathcal{D} \rightarrow \mathcal{C}$ in \mathbf{CwF} that weakly preserves identity types.*

This theorem can straightforwardly be extended to any other weakly stable weak type-theoretic structure.

In general, we are interested in coherence theorems that are more powerful than Theorem 3. We expect that Theorem 3 can be part of the proofs of such coherence theorems; this is discussed in Section 6.

2 Background

We work in a constructive metatheory.

2.1 Presheaf categories

We use the internal language of the category $\mathbf{Psh}(\mathcal{C})$ of presheaves over a base category \mathcal{C} ; any presheaf category is a model of extensional type theory [15]. This justifies the use of higher-order abstract syntax (HOAS) to describe type-theoretic structures over a base category \mathcal{C} .

If $\Gamma : \mathbf{Ob}_{\mathcal{C}}$ is an object of \mathcal{C} , the corresponding representable presheaf is written $y(\Gamma)$. A morphism $f : \Gamma \rightarrow \Delta$ can be identified with the natural transformation $f : y(\Gamma) \rightarrow y(\Delta)$.

If X is a presheaf, we identify global elements of the exponential presheaf $(y(\Gamma) \rightarrow X)$ with elements of the evaluation of X at Γ . If $x : y(\Gamma) \rightarrow X$ and $f : \Delta \rightarrow \Gamma$, we may write $x[f]$ for the restriction of x along f .

We write $\int_{\mathcal{C}} X$ for the category of elements of X ; its objects are pairs (Γ, x) with $x : y(\Gamma) \rightarrow X$, and a morphism $(\Delta, x') \rightarrow (\Gamma, x)$ is a morphism $\rho : \Delta \rightarrow \Gamma$ such that $x' = x[\rho]$.

A dependent presheaf over X is a presheaf over $\int_{\mathcal{C}} X$. If Y is a dependent presheaf over X and $x : y(\Gamma) \rightarrow X$, global elements of the presheaf $(\gamma : y(\Gamma)) \rightarrow Y(x(\gamma))$ coincide with elements of the evaluation of Y at Γ and x .

The presheaf universe classifying the i -small dependent presheaves is denoted by \mathcal{U}_i ; we will generally omit the universe level i . Dependent products are written $(a : A) \rightarrow B(a)$, sometimes with a leading \forall quantifier. Dependent sums are written $(a : A) \times B(a)$. The terminal presheaf is denoted by \top .

If $x : y(\Gamma) \rightarrow X$ and $y : (\gamma : y(\Gamma)) \rightarrow Y(x(\gamma))$, we write $\langle x, y \rangle$ for the corresponding element of $(\gamma : y(\Gamma)) \rightarrow (a : X(\gamma)) \times (b : Y(a))$. We write $\langle \rangle$ for the unique element of $y(\Gamma) \rightarrow \top$.

2.2 Categories with Families

We use categories with families [10, 7] as our models of type theory. We recall how the notion of local representability, which encodes the context extensions, is derived from the (non-local) notion of representability. We will similarly derive a notion of local familial representability from the notion of familial representability in Section 3.1.

► **Definition 4.** A dependent presheaf $Y : X \rightarrow \mathcal{U}$ is **locally representable** if for every element $x : y(\Gamma) \rightarrow X$, the restricted presheaf

$$\begin{aligned} Y|_x & : \mathbf{Psh}(\mathcal{C}/\Gamma) \\ Y|_x(\rho : \Delta \rightarrow \Gamma) & \triangleq Y(x[\rho] : y(\Delta) \rightarrow X) \end{aligned}$$

is representable. ┘

► **Definition 5.** A *family* over a category \mathcal{C} is a pair $(\mathbf{Ty}, \mathbf{Tm})$ consisting of a presheaf $\mathbf{Ty} : \mathcal{U}$ and of a dependent presheaf $\mathbf{Tm} : \mathbf{Ty} \rightarrow \mathcal{U}$. We say that the family has **representable elements** when \mathbf{Tm} is locally representable. \lrcorner

► **Definition 6.** A *category with families* (CwF) is a category \mathcal{C} equipped with a terminal object \diamond , along with a global family $(\mathbf{Ty}_{\mathcal{C}}, \mathbf{Tm}_{\mathcal{C}})$ with representable elements. \lrcorner

The local representability condition describes the context extensions. If $\Gamma : \mathbf{Ob}_{\mathcal{C}}$ and $A : \mathbf{y}(\Gamma) \rightarrow \mathbf{Ty}_{\mathcal{C}}$, we have an extended context $\Gamma.A : \mathbf{Ob}_{\mathcal{C}}$ and a natural isomorphism $\mathbf{y}(\Gamma.A) \simeq (\gamma : \mathbf{y}(\Gamma)) \times (a : \mathbf{Tm}_{\mathcal{C}}(A(\gamma)))$. We will often identify the two sides of this isomorphism. The two projections out of this dependent sum are the projection morphism $\mathbf{p}_A : \Gamma.A \rightarrow \Gamma$ and the variable term $\mathbf{q}_A : ((\gamma, a) : \mathbf{y}(\Gamma.A)) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\gamma))$. If $\rho : \Delta \rightarrow \Gamma$, we write ρ^+ for the canonical morphism $\rho^+ : \Delta.A[\rho] \rightarrow \Gamma.A$, i.e. $\rho^+ = \langle \rho \circ \mathbf{p}_A, \mathbf{q}_A \rangle$.

We write \mathbf{CwF} for the 1-category of CwFs and strict CwF morphisms.

We write $(\mathbf{Ty}^*, \mathbf{Tm}^*)$ for the family of **telescopes** of a family $(\mathbf{Ty}, \mathbf{Tm})$. It is defined as the following inductive-recursive family, internally to $\mathbf{Psh}(\mathcal{C})$:

$$\begin{aligned} \mathbf{Ty}^* & : \mathcal{U} \\ \mathbf{Tm}^* & : \mathbf{Ty}^* \rightarrow \mathcal{U} \\ \diamond & : \mathbf{Ty}^* \\ \mathbf{Tm}^*(\diamond) & \triangleq \top \\ _ _ & : (\Delta : \mathbf{Ty}^*)(A : \mathbf{Tm}^*(\Delta) \rightarrow \mathbf{Ty}) \rightarrow \mathbf{Ty}^* \\ \mathbf{Tm}^*(\Delta.A) & \triangleq (\delta : \mathbf{Tm}^*(\Delta)) \times (a : \mathbf{Tm}(A(\delta))) \end{aligned}$$

In other words, a telescope of types $A : \mathbf{Ty}^*$ is a finite sequence $A_1.A_2.\dots.A_n$ of dependent types. A telescope of terms $a : \mathbf{Tm}^*(A)$ is a sequence $a_1 : A_1, a_2 : A_2(a_1), \dots, a_n : A_n(a_1, a_2, \dots)$ of terms. If $(\mathbf{Ty}, \mathbf{Tm})$ has representable elements, then so does $(\mathbf{Ty}^*, \mathbf{Tm}^*)$; the context extensions of $(\mathbf{Ty}^*, \mathbf{Tm}^*)$ are iterations of the context extensions of $(\mathbf{Ty}, \mathbf{Tm})$.

There is a canonical map $\mathbf{Ty}_{\mathcal{C}}^* \rightarrow \mathbf{Ob}_{\mathcal{C}}$ sending any closed telescope to the corresponding extension of the empty context. We say that \mathcal{C} is **contextual** when that map is bijective. In that case, we identify the objects of \mathcal{C} and the closed telescopes. Up to that identification, the Yoneda embedding $\mathbf{y} : \mathbf{Ob}_{\mathcal{C}} \rightarrow \mathcal{U}$ coincides with the restriction of $\mathbf{Tm}_{\mathcal{C}}^* : \mathbf{Ty}_{\mathcal{C}}^* \rightarrow \mathcal{U}$ to closed telescopes.

► **Definition 7.** If \mathcal{C} is a contextual CwF, we characterize its variables by an inductive family $\mathbf{Var} : (\Gamma : \mathbf{Ob}_{\Gamma})(A : \mathbf{y}(\Gamma) \rightarrow \mathbf{Ty}_{\mathcal{C}})(a : \forall \gamma \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\gamma))) \rightarrow \mathbf{Set}$, generated by:

$$\frac{}{\mathbf{Var}_{\Gamma.A,A[\mathbf{p}_A]}(\mathbf{q}_A)} \qquad \frac{\mathbf{Var}_{\Gamma,A}(x)}{\mathbf{Var}_{\Gamma.B,A[\mathbf{p}_B]}(x[\mathbf{p}_B])}$$

2.3 Strictly stable and weakly stable weak identity types

We give definitions of the structures of stable and weakly stable weak identity types using the internal language of $\mathbf{Psh}(\mathcal{C})$. Note that the weakly stable structures cannot be fully be specified internally; it involves an external quantification over contexts.

We use Paulin-Mohring's variant of the identity type elimination principle, as it is better behaved than Martin-Löf's eliminator in the absence of other type-theoretic structures. In the absence of II-types, Martin-Löf's eliminator needs to be parametrized by an additional

6 Strictification of weakly stable type-theoretic structures using generic contexts

telescope, as introduced by Gambino and Garner [11]. This is discussed in more details in [23, 18, 3].

Paulin-Mohring's eliminator corresponds to based path induction, in which the left endpoint of a path is fixed.

$$\frac{A \text{ type} \quad x : A}{[y : A] \text{ld}(A, x, y) \text{ type}} \qquad \frac{A \text{ type} \quad x : A}{\text{refl}(A, x) : \text{ld}(A, x, x)}$$

$$\frac{A \text{ type} \quad x : A \quad [y : A, p : \text{ld}(A, x, y)] P(y, p) \text{ type} \quad d : P(x, \text{refl}(A, x))}{[y : A, p : \text{ld}(A, x, y)] J(A, x, P, d, y, p) : P(y, p)}$$

We consider *weak* identity types, which means that their computation rule is given by a typal equality, rather than a definitional equality.

$$\frac{A \text{ type} \quad x : A \quad [y : A, p : \text{ld}(A, x, y)] P(y, p) \text{ type} \quad d : P(x, \text{refl}(A, x))}{J\beta(A, x, P, d, y, p) : \text{ld}(P(x, \text{refl}(A, x)), J(A, x, P, d, x, \text{refl}(A, x)), d)}$$

Note that the type former ld has two parameters (A and x) and one index y . The fact that y is an index cannot be seen in the definition of the stable type-former ld as a natural transformation $\text{ld} : (A : \text{Ty}_{\mathcal{C}})(x, y : \text{Tm}_{\mathcal{C}}(A)) \rightarrow \text{Ty}_{\mathcal{C}}$. However it changes the definition of the weakly stable type-former ld ; we will have a type $\text{ld}_{\Gamma, A, x}$ in the extended context $\Gamma.(y : A)$.

► **Definition 8.** A (strictly stable) *weak identity type structure* on a family (Ty, Tm) consists of an *introduction structure*

$$\begin{aligned} \text{ld} & : \forall(A : \text{Ty})(x, y : \text{Tm}(A)) \rightarrow \text{Ty}, \\ \text{refl} & : \forall A \ x \rightarrow \text{Tm}(\text{ld}(A, x, x)), \end{aligned}$$

along with a *weak elimination structure*

$$\begin{aligned} J & : \forall(A : \text{Ty})(x : \text{Tm}(A)) \\ & \quad (P : \forall(y : \text{Tm}(A))(p : \text{Tm}(\text{ld}(A, x, y))) \rightarrow \text{Ty}) \\ & \quad (d : \text{Tm}(P(x, \text{refl}(A, x)))) \\ & \quad \rightarrow \forall y \ p \rightarrow \text{Tm}(P(y, p)), \\ J\beta & : \forall(A : \text{Ty})(x : \text{Tm}(A)) \\ & \quad (P : \forall(y : \text{Tm}(A))(p : \text{Tm}(\text{ld}(A, x, y))) \rightarrow \text{Ty}) \\ & \quad (d : \text{Tm}(P(x, \text{refl}(A, x)))) \\ & \quad \rightarrow \text{Tm}(\text{ld}(P(x, \text{refl}(A, x)), J(A, x, P, d, x, \text{refl}(A, x)), d)). \end{aligned} \quad \lrcorner$$

We also define the weakly stable weak identity types.

► **Definition 9.** A *ld-introduction context* is a triple (Γ, A, x) , where

$$\begin{aligned} \Gamma & : \text{Ob}_{\mathcal{C}}, \\ A & : y(\Gamma) \rightarrow \text{Ty}, \\ x & : (\gamma : y(\Gamma)) \rightarrow \text{Tm}(A(\gamma)). \end{aligned}$$

Here Γ is an object of \mathcal{C} , and A and x are types and terms that only depend on Γ .

A **weakly stable identity type introduction structure** consists, for every **ld-introduction context** (Γ, A, x) , of operations

$$\begin{aligned} \text{ld}_{(\Gamma, A, x)} &: \forall(\gamma : \mathfrak{y}(\Gamma))(y : \mathbf{Tm}(A(\gamma))) \rightarrow \mathbf{Ty}, \\ \text{refl}_{(\Gamma, A, x)} &: \forall(\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}(\text{ld}_{(\Gamma, A, x)}(\gamma, x(\gamma))). \end{aligned}$$

A **ld-elimination context** over an **ld-introduction context** (Γ, A, x) is a tuple (Δ, γ, P, d) , where

$$\begin{aligned} \Delta &: \text{Ob}_{\mathcal{C}}, \\ \gamma &: \Delta \rightarrow \Gamma, \\ P &: \forall(\delta : \mathfrak{y}(\Delta))(y : \mathbf{Tm}(A(\gamma(\delta))))(p : \mathbf{Tm}(\text{ld}_{(\Gamma, A, x)}(\gamma(\delta), y))) \rightarrow \mathbf{Ty}, \\ d &: \forall(\delta : \mathfrak{y}(\Delta)) \rightarrow \mathbf{Tm}(P(\delta, x(\gamma(\delta))), \text{refl}_{(\Gamma, A, x)}(\gamma(\delta))). \end{aligned}$$

A **weakly stable identity type elimination structure** consists, for every **ld-elimination context** (Δ, γ, P, d) over (Γ, A, x) , of operations

$$\begin{aligned} \mathbf{J}_{(\Gamma, A, x, \Delta, \gamma, P, d)} &: \forall(\delta : \mathfrak{y}(\Delta))(y : \mathbf{Tm}(A(\gamma(\delta))))(p : \mathbf{Tm}(\text{ld}_{(\Gamma, A, x)}(\gamma(\delta), y))) \rightarrow \mathbf{Tm}(P(\delta, y, p)), \\ \mathbf{J}\beta_{(\Gamma, A, x, \Delta, \gamma, P, d)} &: \forall(\delta : \mathfrak{y}(\Delta)) \rightarrow \text{ld}_{(\Delta, P', d)}(\delta, \mathbf{J}_{(\Gamma, A, x, \Delta, \gamma, P, d)}(\delta, x(\gamma(\delta)), \text{refl}_{(\Gamma, A, x)}(\gamma(\delta))), \\ P'(\delta') &\quad \triangleq P(\delta', x(\gamma(\delta')), \text{refl}_{\Gamma}(\gamma(\delta'))). \quad \dashv \end{aligned}$$

Note that strictly stable identity types are weakly stable identity types satisfying additional naturality conditions. In presence of weakly stable weak identity types, we have well-behaved notions of contractible types, type equivalences, etc.

► **Proposition 10.** *The weakly stable weak identity types are indeed weakly stable: for every ld-introduction context (Γ, A, x) and substitution $\rho : \Delta \rightarrow \Gamma$, the canonical map*

$$\mathbf{Tm}(\text{ld}_{(\Delta, A[\rho], x[\rho])}) \rightarrow \mathbf{Tm}(\text{ld}_{(\Gamma, A, x)}[\rho])$$

is an equivalence over $\Delta.A[\rho]$. ◀

► **Definition 11.** *A CwF morphism $F : \mathcal{C} \rightarrow \mathcal{D}$ weakly preserves weakly stable weak identity types if for every ld-introduction context (Γ, A, x) of \mathcal{C} , then the canonical map*

$$\mathbf{Tm}_{\mathcal{D}}(\text{ld}_{(F(\Gamma), F(A), F(x))}) \rightarrow \mathbf{Tm}_{\mathcal{D}}(F(\text{ld}_{(\Gamma, A, x)}))$$

is an equivalence over $F(\Gamma.A)$. ◀

2.4 Trivial fibrations and freely generated CwFs

We recall the definition of the (cofibrations, trivial fibrations) weak factorization system on **CwF**. The same weak factorization system on the category **CwA** of Categories with Attributes, which is equivalent to **CwF**, was introduced by Kapulkin and Lumsdaine [19, Definition 4.12].

► **Definition 12.** *A morphism $F : \mathcal{C} \rightarrow \mathcal{D}$ of CwFs is a **trivial fibration** if its actions on types and terms are surjective, i.e. if it satisfies the following lifting conditions:*

(type lifting) *For every object $\Gamma : \text{Ob}_{\mathcal{C}}$ and type $A : \mathfrak{y}(F(\Gamma)) \rightarrow \mathbf{Ty}_{\mathcal{D}}$, there exists a type $A_0 : \mathfrak{y}(\Gamma) \rightarrow \mathbf{Ty}_{\mathcal{C}}$ such that $F(A_0) = A$.*

(term lifting) *For every object $\Gamma : \text{Ob}_{\mathcal{C}}$, type $A : \mathfrak{y}(\Gamma) \rightarrow \mathbf{Ty}_{\mathcal{C}}$ and term $a : (\gamma : \mathfrak{y}(F(\Gamma))) \rightarrow \mathbf{Tm}_{\mathcal{D}}(F(A)(\gamma))$, there exists a term $a_0 : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\gamma))$ such that $F(a_0) = a$,*

where the existential quantifications are strong, meaning that F is equipped with a choice of lifts. \lrcorner

The (cofibrations, trivial fibrations) weak factorization system on \mathbf{CwF} is cofibrantly generated by the set $I = \{I^{\text{ty}}, I^{\text{tm}}\}$, where

$$I^{\text{ty}} : \text{Free}(\Gamma : \text{Ob}) \rightarrow \text{Free}(\mathbf{A} : \mathfrak{y}\Gamma \rightarrow \text{Ty}),$$

$$I^{\text{tm}} : \text{Free}(\mathbf{A} : \mathfrak{y}\Gamma \rightarrow \text{Ty}) \rightarrow \text{Free}(\mathbf{a} : (\gamma : \mathfrak{y}\Gamma) \rightarrow \text{Tm}(\mathbf{A}(\gamma))).$$

Here $\text{Free}(\Gamma : \text{Ob})$ is the CwF freely generated by an object Γ , $\text{Free}(\mathbf{A} : \mathfrak{y}\Gamma \rightarrow \text{Ty})$ is the CwF freely generated by an object Γ and a type \mathbf{A} over Γ , and $\text{Free}(\mathbf{a} : (\gamma : \mathfrak{y}\Gamma) \rightarrow \text{Tm}(\mathbf{A}(\gamma)))$ is the CwF freely generated by Γ , \mathbf{A} and a term \mathbf{a} of type \mathbf{A} over Γ .

We also recall the definition of I -cellular maps and objects in \mathbf{CwF} .

► **Definition 13.** A *basic I -cellular map* $\mathcal{C} \rightarrow \mathcal{D}$ is a pushout of a coproducts of maps in I ; it freely adjoins to a model \mathcal{C} a collection of new types and terms whose contexts and types are from \mathcal{C} . An *I -cellular map* is a sequential composition of a sequence $(\iota_i^{i+1} : \mathcal{C}_i \rightarrow \mathcal{C}_{i+1})_{i \leq \omega}$ of basic I -cellular maps.

A CwF \mathcal{C} is an *I -cellular object* (or *I -cell complex*) if the unique map $\mathbf{0} \rightarrow \mathcal{C}$ is an I -cellular map. \lrcorner

By the small object argument, every morphism of CwFs can be factored as an I -cellular map followed by a trivial fibration. In particular, for any CwF \mathcal{C} , the factorization of the unique map $\mathbf{0} \rightarrow \mathcal{C}$ provides an I -cellular object \mathcal{C}_0 and a trivial fibration $\mathcal{C}_0 \rightarrow \mathcal{C}$.

► **Proposition 14.** If $F : \mathcal{C} \rightarrow \mathcal{D}$ is a trivial fibration between CwFs and \mathcal{D} is equipped with weakly stable weak identity types, then \mathcal{C} can be equipped with weakly stable weak identity types that are strictly preserved by F .

Proof. By lifting each component of the weakly stable weak identity types of \mathcal{D} . \blacktriangleleft

► **Proposition 15.** Any I -cellular CwF is contextual.

Proof. Let \mathbb{N} be the terminal contextual CwFs; its contexts are natural numbers, and it has a unique type and a unique term over every context. A CwF \mathcal{C} is contextual if and only there exists a unique CwF morphism $\mathcal{C} \rightarrow \mathbb{N}$; such a morphism gives the length of every context of \mathcal{C} .

Now take an I -cellular CwF \mathcal{C} . For any other CwF \mathcal{D} , a CwF morphism $\mathcal{C} \rightarrow \mathcal{D}$ is determined by the image of the generating types and terms of \mathcal{C} . Since \mathbb{N} has a unique type and a unique term, there exists a unique CwF morphism $\mathcal{C} \rightarrow \mathbb{N}$, sending each generating type or term to the unique type or term of \mathbb{N} . Thus \mathcal{C} is contextual, as needed. \blacktriangleleft

The collections of generating types and terms of an I -cellular CwF \mathcal{C} can be obtained from the decomposition of $\mathbf{0} \rightarrow \mathcal{C}$ as an I -cellular map. We use a **(red,bold)** font to distinguish the generating types and terms from arbitrary types and terms.

► **Construction 16.** Let \mathcal{C} be an I -cellular CwF. Then we construct sets $\text{GenTy}_{\mathcal{C}} : \text{Set}$ of **generating types** and $\text{GenTm}_{\mathcal{C}} : \text{Set}$ of **generating terms** such that

- For every $\mathbf{S} : \text{GenTy}_{\mathcal{C}}$, we have an object $\partial\mathbf{S} : \text{Ob}_{\mathcal{C}}$ and a dependent type $\mathbf{S} : \partial\mathbf{S} \rightarrow \text{Ty}_{\mathcal{C}}$.
- For every $\mathbf{f} : \text{GenTm}_{\mathcal{C}}$, we have an object $\partial\mathbf{f} : \text{Ob}_{\mathcal{C}}$, a type $T\mathbf{f} : \partial\mathbf{f} \rightarrow \text{Ty}_{\mathcal{C}}$ and a dependent term $\mathbf{f} : \forall(\tau : \partial\mathbf{f}) \rightarrow \text{Tm}_{\mathcal{C}}(T\mathbf{f}(\tau))$.

The components $\partial\mathbf{S}$ and $\partial\mathbf{f}$ specify the dependencies (or the boundary) of the generating types and terms. The component $T\mathbf{f}$ gives the output type of a generating term.

Construction. Since \mathcal{C} is I -cellular, it is the colimit of a sequence

$$(\iota_i^{i+1} : \mathcal{C}_i \rightarrow \mathcal{C}_{i+1})_{i < \omega}$$

of basic I -cellular maps, with $\mathcal{C}_0 = \mathbf{0}_{\mathbf{CwF}}$ and $\mathcal{C}_\omega = \mathcal{C}$. When $i \leq j \leq \omega$, we write $\iota_i^j : \mathcal{C}_i \rightarrow \mathcal{C}_j$ for the composition of maps of that sequence.

For each $i \leq \omega$, the map $\iota_i^{i+1} : \mathcal{C}_i \rightarrow \mathcal{C}_{i+1}$ is a basic I -cellular map, specified by a set GenTy_i of generating types and a set GenTm_i of generating terms. For every $\mathcal{S} : \text{GenTy}_i$, we have a boundary $\partial\mathcal{S} : \text{Ob}_{\mathcal{C}_i}$ and a generating type $\mathcal{S} : \mathfrak{y}(\iota_i^{i+1}(\partial\mathcal{S})) \rightarrow \text{Ty}_{\mathcal{C}_{i+1}}$. For every $\mathbf{f} : \text{GenTm}_i$, we have a boundary $\partial\mathbf{f} : \text{Ob}_{\mathcal{C}_i}$, an output type $T\mathbf{f} : \mathfrak{y}(\partial\mathbf{f}) \rightarrow \text{Ty}_{\mathcal{C}_i}$ and a generating term $\mathbf{a} : (\gamma : \mathfrak{y}(\iota_i^{i+1}(\partial\mathbf{f}))) \rightarrow \text{Tm}_{\mathcal{C}_{i+1}}(\iota_i^{i+1}(T\mathbf{f})(\gamma))$. A morphism $F : \mathcal{C}_{i+1} \rightarrow \mathcal{E}$ is uniquely determined by the composition $F \circ \iota_i^{i+1}$ and by the image of the generating types and terms.

We pose $\text{GenTy}_{\mathcal{C}} \triangleq \coprod_{i < \omega} \text{GenTy}_i$ and $\text{GenTm}_{\mathcal{C}} \triangleq \coprod_{i < \omega} \text{GenTm}_i$. The boundaries and output types of $\text{GenTy}_{\mathcal{C}}$ and $\text{GenTm}_{\mathcal{C}}$ are defined in the evident way using the boundaries and output types of GenTy_i and GenTm_i . \blacktriangleleft

We can obtain an syntactic description of the general types and terms of an I -cellular \mathbf{CwF} as the well-typed trees built out of the generating types and terms.

Construction 17. *Given an object $\Gamma : \text{Ob}_{\mathcal{C}}$, we define inductive families of sets*

$$\text{NfTy} : \forall \Delta (\mathfrak{y}(\Gamma) \rightarrow \text{Ty}_{\mathcal{C}}) \rightarrow \text{Set},$$

$$\text{Nf}_{\Gamma}^* : \forall \Delta (\mathfrak{y}(\Gamma) \rightarrow \text{Tm}_{\mathcal{C}}^*(\Delta)) \rightarrow \text{Set},$$

$$\text{Nf}_{\Gamma} : \forall A (\mathfrak{y}(\Gamma) \rightarrow \text{Tm}_{\mathcal{C}}(A)) \rightarrow \text{Set},$$

generated by the following (unnamed) constructors:

$$\frac{\mathbf{S} : \text{GenTm}_{\mathcal{C}} \quad \text{Nf}_{\Gamma}^*(\tau)}{\text{NfTy}_{\Gamma}(\mathbf{S}[\tau])}$$

$$\frac{}{\text{Nf}_{\Gamma}^*(\langle \rangle)} \quad \frac{\text{Nf}_{\Gamma}^*(\delta) \quad \text{Nf}_{\Gamma}(a)}{\text{Nf}_{\Gamma}^*(\langle \delta, a \rangle)}$$

$$\frac{\text{Var}_{\Gamma}(a)}{\text{Nf}_{\Gamma}(a)} \quad \frac{\mathbf{f} : \text{GenTm}_{\mathcal{C}} \quad \text{Nf}_{\Gamma}^*(\tau)}{\text{Nf}_{\Gamma}(\mathbf{f}[\tau])}$$

Then for every type A , substitution σ or term a , there is a unique element of $\text{NfTy}(A)$, $\text{Nf}^*(\sigma)$ or $\text{Nf}(a)$. In other words, types, terms and telescopes of terms admit a unique normal form. \lrcorner

Construction. This is a standard normalization proof, although it is easier than usual thanks to the absence of definitional equalities.

We first prove the existence of normal forms. We define a new \mathbf{CwF} \mathcal{C}_{nf} ; its substitutions, types and terms are those of \mathcal{C} equipped with normal forms. We omit the full definition of \mathcal{C}_{nf} , it is lengthy but straightforward. It involves the definition of the action of normal substitutions on normal forms.

We have a projection morphism $F : \mathcal{C}_{\text{nf}} \rightarrow \mathcal{C}$. We then construct a section G of F , by transfinite induction on $i \leq \omega$. The precise induction hypothesis is that for any $i \leq \omega$, we

construct a morphism $G_i : \mathcal{C}_i \rightarrow \mathcal{C}_{\text{nf}}$ such that $F \circ G_i = \iota_i^\omega$. The zero and limit cases are straightforward, and in the successor case we only have to show that the generating types and terms admit a normal form. This holds essentially by definition of normal forms. By definition of \mathcal{C}_{nf} , the section G equips every type A , term a or substitution σ with a normal form $\text{nf}(A)$, $\text{nf}(a)$ or $\text{nf}(\sigma)$.

In order to prove uniqueness, we prove that normalization is stable, i.e. that for every normal form $A^{\text{nf}} : \text{NfTy}(A)$, $a^{\text{nf}} : \text{Nf}(a)$ or $\sigma^{\text{nf}} : \text{Nf}^*(\sigma)$, we have $A^{\text{nf}} = \text{nf}(A)$, $a^{\text{nf}} = \text{nf}(a)$ or $\sigma^{\text{nf}} = \text{nf}(\sigma)$. This is shown by induction on normal forms. Most cases are straightforward. In the case of a generating type or term coming from the basic I -cellular map $\mathcal{C}_i \rightarrow \mathcal{C}_{i+1}$, we use the definition of G_{i+1} on these generating types and terms. ◀

3 Generic contexts

3.1 Familiably representable presheaves

We recall the notion of *familiably representable* presheaf [4, 5].

► **Definition 18.** Let \mathcal{C} be a category and $X : \mathcal{U}$ be a presheaf over \mathcal{C} .

The following conditions are equivalent:

1. Every connected component of the category of elements $\int_{\mathcal{C}} X$ is equipped with a terminal object. If $x : \mathfrak{y}(\Gamma) \rightarrow X$ is an element, the terminal object $x_0 : \mathfrak{y}(\Gamma_0) \rightarrow X$ of its connected component is called the **most general generalization** of x .
2. The presheaf X can be decomposed as a coproduct of representable presheaves

$$X \simeq \coprod_{i:I} (\mathfrak{y}(X_i))$$

for some family of objects $X : I \rightarrow \text{Ob}_{\mathcal{C}}$ indexed by some set I .

3. For every element $x : \mathfrak{y}(\Gamma) \rightarrow X$, we have an element $x_0 : \mathfrak{y}(\Gamma_0) \rightarrow X$ and there is a unique morphism $f : \Gamma \rightarrow \Gamma_0$ such that $x = x_0[f]$. Furthermore, x_0 depends strictly naturally on Γ .

When they hold, we say that X is **familiably representable**. ◻

Proof. See [4] for the equivalence between conditions (1) and (2). Condition (3) is an unfolding of condition (1). ◀

► **Definition 19.** A dependent presheaf $Y : X \rightarrow \mathcal{U}$ is **locally familiably representable** if for every element $x : \mathfrak{y}(\Gamma) \rightarrow X$, the restricted presheaf

$$\begin{aligned} Y|_x & : \mathbf{Psh}(\mathcal{C}/\Gamma) \\ Y|_x(\rho : \Delta \rightarrow \Gamma) & \triangleq Y(x[\rho] : \mathfrak{y}(\Delta) \rightarrow X) \end{aligned}$$

is familiably representable. ◻

Unfolding the definition, a dependent presheaf $Y : X \rightarrow \mathcal{U}$ is locally familiably representable if for every element $x : \mathfrak{y}(\Delta) \rightarrow X$, morphism $\rho : \Gamma \rightarrow \Delta$ and element $y : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow Y(x(\rho(\gamma)))$, there is, strictly naturally in Γ , a map $\rho_0 : \Gamma_0 \rightarrow \Delta$ and an element $y : (\gamma : \mathfrak{y}(\Gamma_0)) \rightarrow Y(x(\rho_0(\gamma)))$ such that there is a unique map $f : \Gamma \rightarrow \Gamma_0$ satisfying $\rho = \rho_0 \circ f$ and $y = y_0[f]$. The object Γ_0 can be seen as the extension of the context Δ that classifies the connected component of y .

► **Proposition 20.** If a family $Y : X \rightarrow \mathcal{U}$ is locally familiably representable, the family of telescopes $Y^* : X^* \rightarrow \mathcal{U}$ is also locally familiably representable. ◀

3.2 Polynomial sorts

► **Definition 21.** Let \mathcal{C} be a CwF . We define global families $\mathbf{BSort}_{\mathcal{C}}$ of **basic sorts**, $\mathbf{MonoSort}_{\mathcal{C}}$ of **monomial sorts** and $\mathbf{PolySort}_{\mathcal{C}}$ of **polynomial sorts**. We write $\mathbf{Elem}(-)$ for the elements of these families. Note that they do not necessarily have representable elements.

- A **basic sort** is either \mathbb{t}_y or $\mathbb{t}_m(A)$ for some $A : \mathbf{Ty}_{\mathcal{C}}$.

$$\begin{aligned} \mathbf{Elem}(\mathbb{t}_y) &\triangleq \mathbf{Ty}_{\mathcal{C}} \\ \mathbf{Elem}(\mathbb{t}_m(A)) &\triangleq \mathbf{Tm}_{\mathcal{C}}(A) \end{aligned}$$

We can view the basic sorts \mathbb{t}_y and $\mathbb{t}_m(-)$ as codes for the presheaves of types and terms.

- A **monomial sort** $[\Delta \vdash A]$ (or $[\delta : \Delta \vdash A(\delta)]$) consists of a telescope $\Delta : \mathbf{Ty}_{\mathcal{C}}^*$ and a dependent basic sort $A : \mathbf{Tm}_{\mathcal{C}}^*(\Delta) \rightarrow \mathbf{BSort}_{\mathcal{C}}$. It represents dependent functions from Δ to A , or equivalently elements of A in a context extended by Δ .

$$\mathbf{Elem}([\Delta \vdash A]) \triangleq (\delta : \mathbf{Tm}_{\mathcal{C}}^*(\Delta)) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\delta))$$

- A **polynomial sort** is a telescope of monomial sorts:

$$\mathbf{PolySort}_{\mathcal{C}} \triangleq \mathbf{MonoSort}_{\mathcal{C}}^*. \quad \lrcorner$$

Thus a polynomial sort is a dependent sum of dependent products of basic sorts. Since dependent sums distribute over dependent products, $\mathbf{PolySort}_{\mathcal{C}}$ is closed under dependent products with arities in $\mathbf{Tm}_{\mathcal{C}}$.

The parameters of (both weakly and strictly stable) type-theoretic structures are all described by (closed) polynomial sorts. For instance, the parameters of an \mathbf{Id} -introduction structure are given by the closed polynomial sort

$$\partial \mathbf{Id} \triangleq (A : \mathbb{t}_y) \times (x : \mathbb{t}_m(A)).$$

The parameters of an \mathbf{Id} -elimination structure are specified by the polynomial sort

$$\partial \mathbf{J} \triangleq ((A, x) : \partial \mathbf{Id}) \times (P : [y : A(\gamma), p : \mathbf{Id}_{\Gamma}(\gamma, y) \vdash \mathbb{t}_y]) \times (d : \mathbb{t}_m(P(x(\gamma), \mathbf{refl}_{\Gamma}(\gamma))))).$$

► **Definition 22.** We say that a CwF \mathcal{C} has **familiably representable polynomial sorts** if for every closed polynomial sort P , the presheaf $\mathbf{Elem}(P)$ is familiably representable. \lrcorner

3.3 Strictification

► **Theorem 1.** Let \mathcal{C} be a CwF equipped with weakly stable identity types. If \mathcal{C} has familiably representable polynomial sorts, then \mathcal{C} can be equipped with stable identity types that are equivalent to the weakly stable identity types.

Proof. The proof works for identity types with either a weak or a strict computation rule.

We first consider the closed polynomial $\partial \mathbf{Id} \triangleq (A : \mathbb{t}_y) \times (x : \mathbb{t}_m(A))$.

Let $\langle A, x \rangle : y(\Gamma) \rightarrow \mathbf{Elem}(\partial \mathbf{Id})$ be the parameters of the stable \mathbf{Id} -introduction structure over a context Γ . Since \mathcal{C} has generic polynomial contexts, we can find a most general generalization $\langle A_0, x_0 \rangle : y(\Gamma_0) \rightarrow \mathbf{Elem}(\partial \mathbf{Id})$ of $\langle A, x \rangle$. By the universal property of $\langle A_0, x_0 \rangle$, we have a map $f : \Gamma \rightarrow \Gamma_0$ such that $\langle A, x \rangle = \langle A_0, x_0 \rangle[f]$.

We then pose

$$\begin{aligned} \mathbf{Id}_{\Gamma}^s(A, x, y) &\triangleq \mathbf{Id}_{(\Gamma_0, A_0, x_0)}[\langle f, y \rangle], \\ \mathbf{refl}_{\Gamma}^s(A, x) &\triangleq \mathbf{refl}_{(\Gamma_0, A_0, x_0)}[f]. \end{aligned}$$

Since most general generalizations are strictly natural, $(\text{Id}^s, \text{refl}^s)$ is a stable Id -introduction structure.

Now consider the polynomial sort

$$\partial J \triangleq ((A, x) : \partial \text{Id}) \times (P : [y : A, p : \text{Id}^s(A, x, y)] \text{ Ty}) \times (d : \text{Tm}(P(x, \text{refl}^s(A, x)))).$$

Let $\langle A, x, P, d \rangle : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \text{Elem}(\partial J)$ be the parameters of the stable Id -elimination structure over Γ , A and x . Since \mathcal{C} has generic polynomial contexts, we can find a most general generalization $\langle A_1, x_1, P_1, d_1 \rangle : \mathfrak{y}(\Gamma_1) \rightarrow \partial J$. There is a unique map $g : \Gamma \rightarrow \Gamma_1$ such that $\langle A, x, P, d \rangle = \langle A_1, x_1, P_1, d_1 \rangle[g]$.

We can also obtain the most general generalization $\langle A_0, x_0 \rangle : \mathfrak{y}(\Gamma_0) \rightarrow \partial \text{Id}$ of $\langle A_1, x_1 \rangle$. We have a map $f : \Gamma_1 \rightarrow \Gamma_0$ such that $\langle A_1, x_1 \rangle = \langle A_0, x_0 \rangle[f]$. By the universal property of most general generalizations, $\langle A_0, x_0 \rangle$ is also the most general generalization of $\langle A, x \rangle$. Thus by definition of Id^s , we have $\text{Id}_\Gamma^s(A, x, y) = \text{Id}_{(\Gamma_0, A_0, x_0)}^s[\langle f \circ g, y \rangle]$.

We can finally pose

$$\begin{aligned} J_\Gamma^s(A, x, P, d, y, p) &\triangleq J_{(\Gamma_0, A_0, x_0, \Gamma_1, f, P_1, d_1)}[\langle g, y, p \rangle], \\ J\beta_\Gamma^s(A, x, P, d) &\triangleq J\beta_{(\Gamma_0, A_0, x_0, \Gamma_1, f, P_1, d_1)}[g]. \end{aligned}$$

This determines a stable Id -elimination structure $(J^s, J\beta^s)$. Note that if $J\beta$ is strict, then $J\beta^s$ is also strict.

By Proposition 10 the stable Id -types are equivalent to the weakly stable identity types. ◀

3.4 The local universes method

We show that the local universes strictification method [22] factors through ours.

► **Definition 23** ([22, Definition 3.1.3]). *A $CwFC$ satisfies the condition (LF) if its underlying category has finite products, and given maps $Z \xrightarrow{g} Y \xrightarrow{f} X$, if f is a display map and g is either a display map or a product projection, then a dependent exponential $\Pi[f, g]$ exists.* ◻

In the above definition, a display map is a finite composite of projections maps $\mathbf{p}_A : \Gamma.A \rightarrow \Gamma$; equivalently a display map is a projection map $\mathbf{p}_\Delta : \Gamma.\Delta \rightarrow \Gamma$ where Γ is an object of \mathcal{C} and Δ is a telescope over Γ .

Condition (LF) can essentially be unfolded into the following two representability conditions:

- For every object $\Gamma : \text{Ob}_{\mathcal{C}}$, telescope $\Delta : \mathfrak{y}(\Gamma) \rightarrow \text{Ty}_{\mathcal{C}}^*$ and object $\Theta : \text{Ob}_{\mathcal{C}}$, the presheaf

$$(\gamma : \mathfrak{y}(\Gamma)) \times (\text{Tm}_{\mathcal{C}}^*(\Delta(\gamma)) \rightarrow \mathfrak{y}(\Theta))$$

is representable.

- For every object $\Gamma : \text{Ob}_{\mathcal{C}}$, telescope $\Delta : \mathfrak{y}(\Gamma) \rightarrow \text{Ty}_{\mathcal{C}}^*$ and type

$$A : (\gamma : \mathfrak{y}(\Gamma))(\delta : \text{Tm}_{\mathcal{C}}^*(\Delta(\gamma))) \rightarrow \text{Ty}_{\mathcal{C}},$$

the presheaf

$$(\gamma : \mathfrak{y}(\Gamma)) \times ((\delta : \text{Tm}_{\mathcal{C}}^*(\Delta(\gamma))) \rightarrow \text{Tm}_{\mathcal{C}}(A(\gamma, \delta)))$$

is representable.

► **Definition 24.** Let \mathcal{C} be a CwF.

A **local universe** is a pair (V, E) , where $V : \text{Ob}_{\mathcal{C}}$ is an object of \mathcal{C} and $E : \mathfrak{y}(V) \rightarrow \text{Ty}_{\mathcal{C}}$ is a type over V .

The **local universe model** $\mathcal{C}_!$ is another CwF over the same base category. We write $(\text{Ty}_!, \text{Tm}_!)$ for its family of types and terms.

A type of $\mathcal{C}_!$ is a triple (V, E, χ) , where (V, E) is a local universe, and $\chi : \mathfrak{y}(V)$. There is a natural transformation $\text{Ty}_! \rightarrow \text{Ty}_{\mathcal{C}}$, sending (V, E, χ) to $E(\chi)$.

The terms of $\mathcal{C}_!$ are induced by this natural transformation: $\text{Tm}_!(V, E, \chi) \triangleq \text{Tm}_{\mathcal{C}}(E(\chi))$. The local representability of the dependent presheaf $\text{Tm}_!$ follows from the local representability of $\text{Tm}_{\mathcal{C}}$. \lrcorner

There is a CwF morphism $\mathcal{C}_! \rightarrow \mathcal{C}$ lying over the identity functor. That morphism is surjective on types and bijective on terms. In particular, it is a trivial fibration.

Any weakly stable type-theoretic structure can be lifted along $\mathcal{C}_! \rightarrow \mathcal{C}$. Since $\mathcal{C}_! \rightarrow \mathcal{C}$ is injective on terms, definitional equalities between terms can also be lifted. It is however not generally possible to lift definitional equalities between types.

► **Proposition 25.** If \mathcal{C} satisfies condition (LF), then $\mathcal{C}_!$ has familially representable polynomial sorts.

Proof. We prove by induction on closed polynomial sorts that for every $P : \text{PolySort}_{\mathcal{C}_!}$, the presheaf $\text{Elem}(P)$ is familially representable.

Case $P = \diamond$:

Then $\text{Elem}(P)$ is the terminal presheaf, which is represented by the terminal object of \mathcal{C} .

Case $P = Q.M$:

Here $M : \text{Elem}(Q) \rightarrow \text{MonoSort}_{\mathcal{C}_!}$ is a monomial sort over Q .

Take an element $\langle q, a \rangle : \mathfrak{y}(\Gamma) \rightarrow \text{Elem}(Q.M)$. Our goal is to construct the most general generalization of $\langle q, a \rangle$, i.e. a terminal object of the connected component of $\langle q, a \rangle$ in the category of elements of $\text{Elem}(Q.M)$.

By the induction hypothesis, we have a most general generalization $q_0 : \mathfrak{y}(\Gamma_0) \rightarrow \text{Elem}(Q)$ of q . By its universal property, there is a unique map $f : \Gamma \rightarrow \Gamma_0$ such that $q = q_0[f]$.

We now inspect $M[q_0] : \mathfrak{y}(\Gamma_0) \rightarrow \text{MonoSort}_{\mathcal{C}_!}$, noting that $a : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \text{Elem}(M[q_0](f(\gamma)))$.

Case $M[q_0] = \lambda\gamma \mapsto [\Delta(\gamma) \vdash \mathbb{t}\mathfrak{y}]$:

Here $\Delta : \mathfrak{y}(\Gamma_0) \rightarrow \text{Ty}_!^*$ is a telescope over Γ_0 .

We know that $a : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \text{Tm}_!^*(\Delta(f(\gamma))) \rightarrow \text{Ty}_!$. By definition of the presheaf $\text{Ty}_!$, this means that we have a local universe (V, E) and a classifying map

$$\chi : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \text{Tm}_!^*(\Delta(f(\gamma))) \rightarrow \mathfrak{y}(V)$$

such that $a = \lambda(\gamma, \delta) \mapsto E(\chi(\gamma, \delta))$.

By condition (LF), there exists an object Γ_1 representing the presheaf

$$(\gamma : \mathfrak{y}(\Gamma_0)) \times (v : \text{Tm}_!^*(\Delta(f(\gamma))) \rightarrow \mathfrak{y}(V)).$$

We now define $\langle q_1, a_1 \rangle : \mathfrak{y}(\Gamma_1) \rightarrow \text{Elem}(Q.M)$:

$$\begin{aligned} q_1(\gamma, v) &\triangleq q_0(\gamma), \\ a_1(\gamma, v) &\triangleq \lambda(\delta : \text{Tm}_!^*(\Delta(f(\gamma)))) \mapsto E(v(\delta)). \end{aligned}$$

We have $\langle q, a \rangle = \langle q_1, a_1 \rangle[\langle f, \chi \rangle]$. By the universal properties of Γ_1 and q_0 , the element $\langle q_1, a_1 \rangle$ is the most general generalization of $\langle q, a \rangle$.

Case $M[q_0] = \lambda\gamma \mapsto [\delta : \Delta(\gamma) \vdash \mathbb{t}m(A(\gamma, \delta))]$:

Here $\Delta : \mathfrak{y}(\Gamma_0) \rightarrow \mathfrak{Ty}_1^*$ is a telescope over Γ_0 and $A : (\gamma : \mathfrak{y}(\Gamma_0)) \rightarrow \mathfrak{Tm}_1^*(\Delta(\gamma)) \rightarrow \mathfrak{Ty}_1$. We can decompose A into a local universe (V, E) and a classifying map

$$\chi : (\gamma : \mathfrak{y}(\Gamma_0))(\delta : \mathfrak{Tm}_1^*(\Delta(\gamma))) \rightarrow \mathfrak{y}(V)$$

such that $A = \lambda(\gamma, \delta) \mapsto E(\chi(\gamma, \delta))$.

We know that $a : (\gamma : \mathfrak{y}(\Gamma))(\delta : \mathfrak{Tm}_1^*(\Delta[f])) \rightarrow \mathfrak{Tm}_{\mathcal{C}}(E(\chi(f(\gamma), \delta)))$.

By condition (LF), there exists an object Γ_1 representing the presheaf

$$(\gamma : \mathfrak{y}(\Gamma_0)) \times (x : (\delta : \mathfrak{Tm}_1^*(\Delta(f(\gamma)))) \rightarrow \mathfrak{Tm}_{\mathcal{C}}(E(\chi(\gamma, \delta)))).$$

We now define $\langle q_1, a_1 \rangle : \mathfrak{y}(\Gamma_1) \rightarrow \text{Elem}(Q.M)$:

$$q_1(\gamma, x) \triangleq q_0(\gamma),$$

$$a_1(\gamma, x) \triangleq \lambda(\delta : \mathfrak{Tm}_1^*(\Delta(f(\gamma)))) \mapsto x(\delta).$$

We have $\langle q, a \rangle = \langle q_1, a_1 \rangle[\langle f, a \rangle]$. By the universal properties of Γ_1 and q_0 , the element $\langle q_1, a_1 \rangle$ is the most general generalization of $\langle q, a \rangle$. ◀

4 Most general generalizations in free CwFs

In this section we prove the following result.

► **Theorem 2.** *If a CwF \mathcal{C} is freely generated (I -cellular), then it has locally familiarly representable polynomials sorts.*

We fix an I -cellular CwF \mathcal{C} . We use the explicit description of the types and terms of \mathcal{C} that was given in Construction 16.

4.1 First-order unification

First-order unification [24, 13] is usually presented for free untyped or simply typed theories, but it is folklore that the same unification procedure is also valid for free dependently typed theories¹, i.e. for freely generated CwFs. In our setting, this means that the category of cones over any pair of parallel substitutions is either empty or has a terminal object, which is then the *most general unifier* of the two substitutions.

We prove a slightly stronger result, for contexts that are split into *flexible* and *rigid* parts. The unification procedure can only change the flexible part.

► **Definition 26.** *An **unification context** is an object of the form $\Gamma.\Delta$, where Δ is a telescope over Γ . The variables of Γ are called **flexible variables**, while the variables from Δ are called **rigid variables**.*

A morphism of unification contexts is a substitution that preserves the rigid variables, i.e. a substitution of the form $\rho^+ : \Theta.\Delta[\rho] \rightarrow \Gamma.\Delta$ for some $\rho : \Theta \rightarrow \Gamma$. ◻

► **Definition 27 (Unifiers).** *Let $\Gamma.\Delta$ be a unification context and X be a dependent presheaf over $\mathfrak{y}(\Gamma.\Delta)$. A **unifier** of a pair $a, b : (x : \mathfrak{y}(\Gamma.\Delta)) \rightarrow X(x)$ of parallel elements of X is a morphism $\rho : \Theta \rightarrow \Gamma$ such that $a[\rho^+] = b[\rho^+]$. We say that a and b are **unifiable** if there merely exists a unifier.*

*A **most general unifier** is a terminal unifier.* ◻

¹ This is observed by Simon Henry in <https://mathoverflow.net/questions/307373/on-a-surprising-property-of-free-theories>.

► **Lemma 28** (Instantiation). *Let Γ be a context, $a : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_C(A(\gamma))$ be a variable from Γ and $b : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_C(A(\gamma))$ be a term of type A such that $b \neq a$.*

If the terms a and b are unifiable, then we can construct a most general unifier $\Gamma[a := b]$. Moreover, the length of $\Gamma[a := b]$ is less than the length of Γ .

Proof. We have a bijective renaming $\Gamma \simeq (\gamma_0 : \Gamma_0). \Gamma_1(\gamma_0)$ where Γ_0 is the support of the term b . Up to this renaming, we have $A : \mathfrak{y}(\Gamma_0) \rightarrow \mathbf{Tyc}$, $b : (\gamma_0 : \mathfrak{y}(\Gamma_0)) \rightarrow \mathbf{Tm}_C(A(\gamma_0))$ and $a : (\gamma_0 : \mathfrak{y}(\Gamma_0))(\gamma_1 : \mathbf{Tm}_C^*(\Gamma_1(\gamma_0))) \rightarrow \mathbf{Tm}_C(A(\gamma_0))$.

The variable a cannot belong to the support Γ_0 of b , since a and b are unifiable and different; this is the *occurs check* of first-order unification. Indeed, assuming that a did belong to Γ_0 and considering the unifier ρ of a and b , the term $b[\rho]$ would be infinite.

Thus a is a variable from Γ_1 and we can write $\Gamma_1(\gamma_0) = (\gamma_2 : \Gamma_2(\gamma_0)).(a : A(\gamma_0)).\Gamma_3(\gamma_0, \gamma_2, a)$.

We now pose $\Gamma[a := b] \triangleq (\gamma_0 : \Gamma_0).(\gamma_2 : \Gamma_2(\gamma_0)).\Gamma_3(\gamma_0, \gamma_2, b)$. It is the most general unifier of a and b . ◀

► **Lemma 29** (Strengthening). *Let $\Gamma.\Delta$ be a unification context, $a : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_C(A(\gamma))$ be a term over Γ and $b : ((\gamma, \delta) : \mathfrak{y}(\Gamma.\Delta)) \rightarrow \mathbf{Tm}_C(A(\gamma))$ be a term of type $A[\mathbf{p}_\Delta]$.*

If the terms $a[\mathbf{p}_\Delta]$ and b are unifiable, then there exists a (necessarily unique) term $b' : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_C(A(\gamma))$ such that $b = b'[\mathbf{p}_\Delta]$.

Proof. Let $\rho : \Omega \rightarrow \Gamma$ be a unifier of $a[\mathbf{p}_\Delta]$ and b . Then $b[\rho^+] = a[\mathbf{p}_\Delta][\rho^+] = a[\rho][\mathbf{p}_\Delta[\rho]]$. Thus $b[\rho^+]$ cannot depend on any variable from $\Delta[\rho]$. Since ρ^+ preserves the variables of Δ , the term b cannot depend on any variable from Δ . Therefore it can be strengthened to some term $b' : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_C(A(\gamma))$. ◀

► **Theorem 30** (First-order unification). *Let $\Gamma.\Delta$ be a unification context and $X : \mathfrak{y}(\Gamma.\Delta) \rightarrow \mathcal{U}$ a dependent presheaf of the form $\mathbf{Tm}_C^*(\Xi)$, \mathbf{Tyc} or $\mathbf{Tm}_C(A(-))$. If there exists a unifier $\sigma : \Theta \rightarrow \Gamma$ of a pair $x_1, x_2 : ((\gamma, \delta) : \mathfrak{y}(\Gamma.\Delta)) \rightarrow X(\gamma, \delta)$ of parallel elements of X , then there exists a most general unifier $\rho : \Omega \rightarrow \Gamma$, such that either $\rho = \text{id}$ or the length of Ω is less than the length of Γ .* ◀

Proof. By nested inductions first on the length of Γ , and then on the normal form of the substitution, type, or term x_1 .

Case ($\Gamma = \diamond$): Let $\sigma : \Theta \rightarrow \diamond$ be a unifier of x_1 and x_2 . The map $\sigma = \langle \rangle$ is an epimorphism.

Thus $x_1 = x_2$ and $\text{id} : \Gamma \rightarrow \Gamma$ is the most general unifier of x_1 and x_2 .

Case ($X = \mathbf{Tm}_C^*(\diamond)$):

In that case, $x_1 = x_2 = \langle \rangle$ and $\text{id} : \Gamma \rightarrow \Gamma$ is the most general unifier of x_1 and x_2 .

Case ($X = \mathbf{Tm}_C^*(\Xi.A)$):

We can write $x_1 = \langle \xi_1, a_1 \rangle$ and $x_2 = \langle \xi_2, a_2 \rangle$. By the induction hypothesis for ξ_1 , we have a most general unifier $\rho : \Omega \rightarrow \Gamma$ of ξ_1 and ξ_2 .

If $\rho = \text{id}$, then a_1 and a_2 are parallel terms and by the induction hypothesis for a_1 we can find a most general unifier $\rho' : \Omega' \rightarrow \Gamma$ of a_1 and a_2 . It is then also a most general unifier of x_1 and x_2 .

Otherwise, the length of Ω is less than the length of Γ . By the induction hypothesis for Ω , we can then find a most general unifier $\rho' : \Omega' \rightarrow \Omega$ of $a_1[\rho]$ and $a_2[\rho]$. The composite $(\rho \circ \rho') : \Omega' \rightarrow \Gamma$ is then a most general unifier of x_1 and x_2 .

Case ($X = \mathbf{Tyc}$):

We can write $x_1 = \mathbf{S}[\sigma_1]$ for some generating type \mathbf{S} and $\sigma_1 : \Gamma.\Delta \rightarrow \partial\mathbf{S}$. Since x_1 and x_2 are unifiable, we can also write $x_2 = \mathbf{S}[\sigma_2]$ for some $\sigma_2 : \Gamma.\Delta \rightarrow \partial\mathbf{S}$. By the induction hypothesis for σ_1 , we have a most general unifier of σ_1 and σ_2 . It is then also a most general unifier of x_1 and x_2 .

Case ($X = \mathbf{Tm}_C(A(-))$):

We have several subcases depending on the parallel terms x_1 and x_2 .

Case ($x_1 = \mathbf{f}[\sigma_1]$) and ($x_2 = \mathbf{g}[\sigma_2]$):

Since x_1 and x_2 are unifiable, $\mathbf{f} = \mathbf{g}$. Here $\sigma_1 : \Gamma.\Delta \rightarrow \partial\mathbf{f}$ and $\sigma_2 : \Gamma.\Delta \rightarrow \partial\mathbf{f}$. By the induction hypothesis for σ_1 , we have a most general unifier of σ_1 and σ_2 . It is then also a most general unifier of x_1 and x_2 .

If either x_1 or x_2 is a variable from Γ :

Without loss of generality, assume that x_1 is a variable from Γ . By Lemma 29, the term x_2 can be strengthened to only depend on Γ . If $x_1 = x_2$ then $\text{id} : \Gamma \rightarrow \Gamma$ is the most general unifier of x_1 and x_2 . Otherwise $x_1 \neq x_2$ and the instantiation $\Gamma[x_1 := x_2]$ is the most general unifier of x_1 and x_2 , by Lemma 28. The length of $\Gamma[x_1 := x_2]$ is then less than the length of Γ .

Otherwise, both x_1 and x_2 are variables from Δ :

Since x_1 and x_2 are unifiable by a substitution that preserves the variables from Δ , they have to be equal. Then $\text{id} : \Gamma \rightarrow \Gamma$ is the most general unifier of x_1 and x_2 . ◀

► **Remark 31.** Note that Theorem 30 implies that the families

$$\begin{aligned} (\Delta : \mathbf{Tyc}^*) \times (f, g : \mathbf{Tm}_C^*(\Delta) \rightarrow \mathbf{y}(\Xi)) & \mapsto f = g \\ (\Delta : \mathbf{Tyc}^*) \times (A, B : \mathbf{Tm}_C^*(\Delta) \rightarrow \mathbf{Tyc}) & \mapsto A = B \\ (\Delta : \mathbf{Tyc}^*) \times (A : \mathbf{Tm}_C^*(\Delta) \rightarrow \mathbf{Tyc}) \times (a, b : \forall \delta \rightarrow \mathbf{Tm}_C(A(\delta))) & \mapsto a = b \end{aligned}$$

are locally familially representable. Indeed, their categories of elements are the categories of unifiers for substitutions, types or terms. By Theorem 30, these categories are either empty, or admit a terminal object. In particular, every connected component admits a terminal object. ◻

4.2 Most general generalizations

We now apply first-order unification to the construction of most general generalizations.

We first describe this construction informally. For any type B over a unification context $\Gamma.\Delta$, we compute some B_0 over a context of the form $\Gamma_0.\Delta_0$ and a substitution $f : \Gamma \rightarrow \Gamma_0$ such that $\Delta = \Delta_0[f]$ and $B = B_0[f^+]$. The type B_0 should be the *most general generalization* of B that retains the dependency on Δ .

The type B_0 is essentially obtained by removing the dependencies on Γ , that is by replacing the subterms of B that only depend on Γ by new variables; these new variables are collected in the new context Γ_0 . Because of the dependencies of the generating terms, it is not always possible to fully remove a subterm. We have to rely on first-order unification to determine which parts can be removed; some of the new variables may need to be instantiated to more precise terms.

We give examples involving the following generating types and terms.

$$\begin{aligned} \mathbf{X} & : \mathbf{Tyc} \\ \mathbf{Y} & : \mathbf{Tm}(\mathbf{X}) \rightarrow \mathbf{Tyc} \\ \mathbf{f}_1 & : \mathbf{Tm}(\mathbf{X}) \rightarrow \mathbf{Tm}(\mathbf{X}) \\ \mathbf{f}_2 & : \mathbf{Tm}(\mathbf{X}) \rightarrow \mathbf{Tm}(\mathbf{X}) \rightarrow \mathbf{Tm}(\mathbf{X}) \\ \mathbf{g} & : \forall (x : \mathbf{Tm}(\mathbf{X})) (y : \mathbf{Tm}(\mathbf{Y}(x))) \rightarrow \mathbf{Tm}(\mathbf{X}) \\ \mathbf{h} & : \forall (x : \mathbf{Tm}(\mathbf{X})) \rightarrow \mathbf{Tm}(\mathbf{Y}(x)) \rightarrow \mathbf{Tm}(\mathbf{Y}(\mathbf{f}_1(x))) \end{aligned}$$

We write x, y, z, \dots for the variables from Γ and $\bar{x}, \bar{y}, \bar{z}, \dots$ for the variables from Δ .

- Consider $B = \mathbf{Y}(\mathbf{f}_1(x))$ over $(x : \mathbf{X})$.
Then we can pose $B_0 = \mathbf{Y}(y)$ over $(y : \mathbf{X})$, we have $B = B_0[y \mapsto \mathbf{f}_1(x)]$.
- Consider $B = \mathbf{Y}(\mathbf{f}_1(\bar{x}))$ over $(\bar{x} : \mathbf{X})$.
Then we have to keep $B_0 = \mathbf{Y}(\mathbf{f}_1(\bar{x}))$.
- Consider $B = \mathbf{Y}(\mathbf{f}_2(\mathbf{f}_1(x), \mathbf{f}_1(\bar{y})))$ over $(x : \mathbf{X}, \bar{y} : \mathbf{X})$.
Then $B_0 = \mathbf{Y}(\mathbf{f}_2(z, \mathbf{f}_1(\bar{y})))$ over $(z : \mathbf{X}, \bar{y} : \mathbf{X})$; we have $B = B_0[z \mapsto \mathbf{f}_1(x)]$.
- Consider $B = \mathbf{Y}(\mathbf{g}(\mathbf{f}_1(x), \bar{y}))$ over $(x : \mathbf{X}, \bar{y} : \mathbf{Y}(\mathbf{f}_1(x)))$.
Then $B_0 = \mathbf{Y}(\mathbf{g}(z, \bar{y}))$ over $(z : \mathbf{X}, \bar{y} : \mathbf{Y}(z))$; we have $B = B_0[z \mapsto \mathbf{f}_1(x), \bar{y} \mapsto \bar{y}]$.
- Consider $B = \mathbf{Y}(\mathbf{g}(\mathbf{f}_1(x), \mathbf{h}(x, \bar{y})))$ over $(x : \mathbf{X}, \bar{y} : \mathbf{Y}(x))$.
The $B_0 = B$. We cannot prune the subterm $\mathbf{f}_1(x)$, because of the typing constraints of \mathbf{g} and \mathbf{h} .

► **Proposition 32.** *The families*

$$(\Delta : \mathbf{Ty}_{\mathcal{C}}^*) \times (\Xi : \mathbf{Ob}_{\mathcal{C}}) \quad \mapsto \quad (\mathbf{Tm}_{\mathcal{C}}^*(\Delta) \rightarrow \mathbf{Tm}_{\mathcal{C}}^*(\Xi)) \quad (1)$$

$$(\Delta : \mathbf{Ty}_{\mathcal{C}}^*) \quad \mapsto \quad (\mathbf{Tm}_{\mathcal{C}}^*(\Delta) \rightarrow \mathbf{Ty}_{\mathcal{C}}) \quad (2)$$

$$(\Delta : \mathbf{Ty}_{\mathcal{C}}^*) \times (A : \mathbf{Tm}_{\mathcal{C}}^*(\Delta) \rightarrow \mathbf{Ty}_{\mathcal{C}}) \mapsto \forall(\delta : \mathbf{Tm}_{\mathcal{C}}^*(\Delta)) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\delta)) \quad (3)$$

are locally familiarly representable.

In particular the family $\mathbf{MonoSort}_{\mathcal{C}}$, which is the coproduct of the families (2) and (3), is locally familiarly representable.

Proof. The local familiar representability can be unfolded to the following conditions:

Fix the following data:

- An object $\Gamma : \mathbf{Ob}_{\mathcal{C}}$;
- An object Ω , a telescope $\Delta : \mathbf{y}(\Omega) \rightarrow \mathbf{Ty}_{\mathcal{C}}^*$ and a map $\rho : \Gamma \rightarrow \Omega$;
- Either:
 - An object Ξ and a map $\xi : \Gamma.\Delta[\rho] \rightarrow \Xi$;
 - A type $A : \mathbf{y}(\Gamma.\Delta[\rho]) \rightarrow \mathbf{Ty}_{\mathcal{C}}$;
 - A type $A : \mathbf{y}(\Omega.\Delta) \rightarrow \mathbf{Ty}_{\mathcal{C}}$ and a term $a : (x : \mathbf{y}(\Gamma.\Delta[\rho])) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\rho^+(x)))$

Then we have to construct the following components, strictly naturally in Γ :

- An object $\Gamma_0 : \mathbf{Ob}_{\mathcal{C}}$;
- A map $\rho_0 : \Gamma_0 \rightarrow \Omega$;
- Either:
 - A map $\xi_0 : \Gamma_0.\Delta[\rho_0] \rightarrow \Xi$;
 - A type $A_0 : \mathbf{y}(\Gamma_0.\Delta[\rho_0]) \rightarrow \mathbf{Ty}_{\mathcal{C}}$;
 - A term $a_0 : (x : \mathbf{y}(\Gamma_0.\Delta[\rho_0])) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\rho_0^+(x)))$;
- Such that there exists a unique map $f : \Gamma \rightarrow \Gamma_0$ satisfying $\rho = \rho_0[f]$ and $\xi = \xi_0[f^+]$, $A = A_0[f^+]$ or $a = a_0[f^+]$.

$$\begin{array}{ccccc}
& & \xi & \xrightarrow{\quad} & \Xi \\
& & \nearrow \xi_0 & & \nearrow \\
\Gamma.\Delta[\rho] & \xrightarrow{f^+} & \Gamma_0.\Delta[\rho_0] & \xrightarrow{\rho_0^+} & \Omega.\Delta \\
& \searrow \rho^+ & & & \searrow \rho_\Delta \\
\Gamma & \xrightarrow{f} & \Gamma_0 & \xrightarrow{\rho_0} & \Omega \\
& \searrow \rho & & & \searrow
\end{array}$$

We construct the most general generalizations by induction on the normal forms of ξ , A or a . The strict naturality in Γ will be proven in a second step.

Case ($\Xi = \diamond$) and ($\xi = \langle \rangle$):

We pose $\Gamma_0 = \Omega$, $\rho_0 = \text{id}$, $\xi_0 = \langle \rangle$ and $f = \rho$.

Case ($\Xi = \Theta.A$) and ($\xi = \langle \theta, a \rangle$):

In that case $\theta : \Gamma.\Delta[\rho] \rightarrow \Theta$ and $a : (x : y(\Gamma.\Delta[\rho])) \rightarrow A(\theta(x))$.

By the induction hypothesis for θ , we have $\Gamma_0, \rho_0 : \Gamma_0 \rightarrow \Omega$, $\theta_0 : \Gamma_0.\Delta[\rho_0] \rightarrow \Theta$ and there exists a unique map $f : \Gamma \rightarrow \Gamma_0$ such that $\rho_0[f] = \rho$ and $\theta_0[f^+] = \theta$.

By the induction hypothesis for a , we have $\Gamma_1, \rho_1 : \Gamma_1 \rightarrow \Gamma_0$, $a_1 : \Gamma_1.\Delta[\rho_0][\rho_1]$ and there is a unique map $g : \Gamma \rightarrow \Gamma_1$ such that $\rho_1[g] = f$ and $a_1[g^+] = a$.

We then pose $\Gamma_2 = \Gamma_1$, $\rho_2 = \rho_0 \circ \rho_1$ and $\xi_2 = \langle \theta_0[\rho_1], a_1 \rangle$. The map $g : \Gamma \rightarrow \Gamma_1$ is then the unique map such that $\rho_2[g] = \rho$ and $\xi_2[g^+] = \xi$.

Case ($A = \mathbf{S}[\sigma]$):

Here $\sigma : \Gamma \rightarrow \partial\mathbf{S}$. We just use the induction hypothesis for σ , and pose $A_0 = \mathbf{S}[\sigma_0]$.

Case ($a = a'[\mathbf{p}_{\Delta[\rho]}]$)

As a special case, we check if the term a depends on any variable from $\Delta[\rho]$. If it can be strengthened to a term a' over Γ such that $a'[\mathbf{p}_{\Delta[\rho]}] = a$, we also know that the type A cannot depend on any variable from Δ , and can be strengthened to $A' : y(\Omega) \rightarrow \text{Ty}_{\mathcal{C}}$ such that $A'[\mathbf{p}_{\Delta}] = A$. We then pose $\Gamma_0 = (\omega : \Omega).(a_0 : A'(\delta))$, $\rho_0 = \mathbf{p}_{A'} : \Gamma_0 \rightarrow \Omega$ and $f = \langle \rho, a' \rangle$.

Case ($\mathbf{Var}_{\Gamma.\Delta[\rho]}(a)$):

If a is a variable from $\Gamma.\Delta[\rho]$, then a has to be variable from $\Delta[\rho]$, as variables from Γ are dealt with in the case above.

Then we let a_0 be the corresponding variable from Δ and we pose $\Gamma_0 = \Omega$, $\rho_0 = \text{id}$ and $f = \rho$.

Case ($a = \mathbf{f}[\tau]$):

Here $\tau : \Gamma.\Delta[\rho] \rightarrow \partial\mathbf{f}$ and $a : (x : y(\Gamma.\Delta[\rho])) \rightarrow \text{Tm}_{\mathcal{C}}(\mathbf{Tf}(\tau(x)))$. We then know that $A[\rho^+] = \mathbf{Tf}[\tau]$.

By the induction hypothesis for τ , we have $\Gamma_0, \rho_0 : \Gamma_0 \rightarrow \Omega$, $\tau_0 : \Gamma_0.\Delta[\rho_0] \rightarrow \partial\mathbf{f}$ and there is a unique map $f : \Gamma \rightarrow \Gamma_0$ such that $\rho = \rho_0[f]$ and $\tau = \tau_0[f^+]$.

The types $A[\rho_0^+]$ and $\mathbf{Tf}[\tau_0]$ may differ. We know however that they are unifiable by the map f^+ ; thus by first-order unification (Theorem 30), we can find a most general unifier $\rho_1 : \Gamma_1 \rightarrow \Gamma_0$ of these two types. By the universal property of the most general unifier, we have a factorization of f as a map $g : \Gamma \rightarrow \Gamma_1$ followed by $\rho_1 : \Gamma_1 \rightarrow \Gamma_0$.

Now we pose $\Gamma_2 = \Gamma_1$, $\rho_2 = \rho_0 \circ \rho_1$, $a_2 = \mathbf{f}[\tau[\rho_1^+]]$. The map $g : \Gamma_1 \rightarrow \Gamma_0$ is then the unique map such that $\rho_2[g] = \rho$ and $a_2[g] = \tau$.

It remains to prove that the above construction is strictly natural in Γ : we have to prove for any ξ , A or a and any substitution $\sigma : \Lambda \rightarrow \Gamma$ that the most general generalizations of ξ and $\xi \circ \sigma$ (or A and $A[\sigma^+]$, or a and $a[\sigma^+]$) coincide. We prove this by induction on the normal forms of ξ , A or a , following the inductive cases of the previous construction. It is then straightforward to check that the construction follows the same cases for both ξ and $\xi[\sigma^+]$ (or A and $A[\sigma^+]$, or a and $a[\sigma^+]$).

The main subtlety happens when $a : y(\Gamma.\Delta[\rho]) \rightarrow \mathbf{Tm}_{\mathcal{C}}(-)$ is a variable from Γ . In that case, the substituted term $a[\sigma^+] : y(\Lambda.\Delta[\rho][\sigma]) \rightarrow \mathbf{Tm}_{\mathcal{C}}(-)$ is not necessarily a variable. However it can be strengthened to a term that only depends on Λ . Thus our construction of the most general generalization of both a and $a[\sigma^+]$ will use the special case for terms that don't depend on Δ . Without this special case, we would not be able to prove that our construction is strictly natural in Γ . ◀

Proof of Theorem 2. This follows from Proposition 32 and Proposition 20. ◀

4.3 Strictification

▶ **Theorem 3.** *Let \mathcal{C} be a CwF with weakly stable weak identity types. Then there exists a CwF \mathcal{D} with stable weak identity types and a trivial fibration $F : \mathcal{D} \rightarrow \mathcal{C}$ in \mathbf{CwF} that weakly preserves identity types.*

Proof. Let \mathcal{D} be an I -cellular replacement of \mathcal{C} . We have a trivial fibration $F : \mathcal{D} \rightarrow \mathcal{C}$ in \mathbf{CwF} . By Proposition 14, \mathcal{D} can be equipped with weakly stable identity types \mathbf{Id} that are strictly preserved by F .

By Theorem 2, \mathcal{D} has familiarly representable polynomial sorts. Thus by Theorem 1, \mathcal{D} has stable identity types \mathbf{Id}^s that are weakly equivalent to the weakly stable identity types. In other words, the CwF morphism $\mathbf{id} : (\mathcal{D}, \mathbf{Id}^s) \rightarrow (\mathcal{D}, \mathbf{Id})$ weakly preserves identity types. Then the composition $(\mathcal{D}, \mathbf{Id}^s) \xrightarrow{\mathbf{id}} (\mathcal{D}, \mathbf{Id}) \xrightarrow{F} (\mathcal{C}, \mathbf{Id})$ weakly preserves identity types. ◀

5 Other type-theoretic structures

So far we have only considered (weak) identity types. However our methods can more generally be applied to any weakly stable weak type-theoretic structure. Indeed the proofs of Theorem 1 and Theorem 3 only rely on Proposition 10 and on the fact that the parameters of the identity introduction and elimination structures can be specified by (closed) polynomial sorts. Thus the same proof scheme works for any type-theoretic structure that is weakly stable (in the sense that it satisfies a variant of Proposition 10). This holds in particular for most standard type-theoretic structures, including Π -types, Σ -types, coproducts, natural numbers and other inductive types, etc.

Note that in general, weak structures can only be specified in presence of identity types; thus their strictification depends on the strictification of identity types. It is then necessary to see Theorem 1 as a construction.

6 Towards full coherence theorems

We have presented general strictification methods for weakly stable weak type-theoretic structures. However we generally want coherence theorems that give a more precise comparison

between the categories $\mathbf{CwF}_s^{\text{cxl}}$ and $\mathbf{CwF}_{ws}^{\text{cxl}}$ of contextual CwFs equipped with stable or weakly stable weak type-theoretic structures (for some unspecified choice of such structures).

Following [19, 16], we expect that these categories can be equipped with cofibrantly generated left-semi model structures, with trivial fibrations as defined in Definition 12. We then want to prove that the free-forgetful adjunction

$$\begin{array}{ccc} & \xrightarrow{L} & \\ \mathbf{CwF}_{ws}^{\text{cxl}} & \perp & \mathbf{CwF}_s^{\text{cxl}} \\ & \xleftarrow{R} & \end{array}$$

is a Quillen equivalence. This notion of *Morita equivalence* between type theories has been studied by Isaev [17], albeit only for strictly stable type-theoretic structures.

We recall the definition of weak equivalence [19] between CwFs.

► **Definition 33.** *Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a CwF morphism, where \mathcal{D} is equipped with weakly stable weak identity types. The map F is a **weak equivalence** if it is essentially surjective on types and terms, i.e. if it satisfies the following weak type and term lifting conditions:*

(weak type lifting) *For every $\Gamma : \mathbf{Ob}_{\mathcal{C}}$ and type $A : \mathfrak{y}(F(\Gamma)) \rightarrow \mathbf{Ty}_{\mathcal{D}}$, there exists a type $A_0 : \mathfrak{y}(\Gamma) \rightarrow \mathbf{Ty}_{\mathcal{C}}$ and an equivalence between $F(A_0)$ and A over $F(\Gamma)$.*

(weak term lifting) *For every $\Gamma : \mathbf{Ob}_{\mathcal{C}}$, type $A : \mathfrak{y}(\Gamma) \rightarrow \mathbf{Ty}_{\mathcal{C}}$ and term $a : (\gamma : \mathfrak{y}(F(\Gamma))) \rightarrow \mathbf{Tm}_{\mathcal{D}}(F(A)(\gamma))$, there exists a term $a_0 : (\gamma : \mathfrak{y}(\Gamma)) \rightarrow \mathbf{Tm}_{\mathcal{C}}(A(\gamma))$ and a typal equality between $F(a_0)$ and a over $F(\Gamma)$. \lrcorner*

► **Conjecture 34.** *The theories of weakly stable weak identity types and strictly stable weak identity types are Morita equivalent: for every I_{ws} -cellular model $\mathcal{C} : \mathbf{CwF}_{ws}$, the unit $\eta : \mathcal{C} \rightarrow L(\mathcal{C})$ is a weak equivalence. \lrcorner*

Here the I_{ws} -cellular models are the freely generated models in \mathbf{CwF}_{ws} . Note that they do not coincide with the I -cellular CwFs.

We give an informal outline of a likely proof of this result. We leave a detailed proof to future work.

Fix a I_{ws} -cellular model $\mathcal{C} : \mathbf{CwF}_{ws}$. Since \mathcal{C} is freely generated, it admits a syntactic description and satisfies a universal property; a morphism $\mathcal{C} \rightarrow \mathcal{E}$ in \mathbf{CwF}_{ws} is determined by the image of the generating types and terms.

By Theorem 3, or a generalization to additional type formers, we have a CwF \mathcal{D} , equipped with strictly stable type structures, along with a trivial fibration $F : \mathcal{D} \rightarrow \mathcal{C}$ in \mathbf{CwF} that weakly preserves the various type structures.

By induction on the syntax of \mathcal{C} , we construct a morphism $G : \mathcal{C} \rightarrow \mathcal{D}$ in \mathbf{CwF}_{ws} along with a homotopy $\alpha : F \circ G \sim \text{id}_{\mathcal{C}}$. In other words, we construct a homotopy section G of F . If F was a morphism in \mathbf{CwF}_{ws} , we could obtain a (strict) section from the fact that \mathcal{C} is cofibrant in \mathbf{CwF}_{ws} and satisfies a strict lifting property with respect to trivial fibrations. Since F only preserves the type-theoretic structures weakly, we can only construct a homotopy section.

More precisely, this induction can be described using the *homotopical gluing* of $F : \mathcal{D} \rightarrow \mathcal{C}$; it is a model $\mathcal{G} : \mathbf{CwF}_{ws}$ that classifies the homotopy sections of F . Its objects are triples (Γ, Δ, e) , where $\Gamma : \mathbf{Ob}_{\mathcal{D}}$, $\Delta : \mathbf{Ob}_{\mathcal{C}}$ and e is an equivalence between $F(\Delta)$ and Γ . Its construction ought to be similar to other constructions of homotopical gluing models [25] and homotopical diagram models [20].

The universal property of \mathcal{C} then provides a section of $\pi_2 : \mathcal{G} \rightarrow \mathcal{C}$, which can be decomposed into a morphism $G : \mathcal{C} \rightarrow \mathcal{D}$ and a homotopy $\alpha : F \circ G \sim \text{id}_{\mathcal{C}}$.

$$\begin{array}{ccc} \mathcal{G} & \xrightarrow{\pi_1} & \mathcal{D} \\ \langle G, \text{id}, \alpha \rangle \downarrow \pi_2 & & \uparrow F \\ \mathcal{C} & & \end{array}$$

By the universal property of $L(\mathcal{C})$, we obtain a map $T : L(\mathcal{C}) \rightarrow \mathcal{D}$ in \mathbf{CwF}_s such that $T \circ \eta = G$.

$$\begin{array}{ccc} \mathcal{D} & \xleftarrow{T} & L(\mathcal{C}) \\ G \uparrow \downarrow F & & \downarrow \eta \\ \mathcal{C} & \xrightarrow{\eta} & L(\mathcal{C}) \end{array}$$

We can now attempt to prove the weak type lifting property for η . For any context $\Gamma : \text{Ob}_{\mathcal{C}}$ and type $A : \mathcal{y}(\eta\Gamma) \rightarrow \text{Ty}_{L(\mathcal{C})}$ of $L(\mathcal{C})$, we have a candidate lift $F(T(A))[\alpha] : \mathcal{y}(\Gamma) \rightarrow \text{Ty}_{\mathcal{C}}$. It remains to prove that $\eta(F(T(A))[\alpha])$ is equivalent to A , or equivalently that $\eta(F(T(A)))$ is equivalent to A over the context equivalence $\eta(\alpha_{\Gamma}) : \eta(F(G(\Gamma))) \cong \eta(\Gamma)$.

It suffices to construct a homotopy $\beta : \eta \circ F \circ T \sim \text{id}_{L(\mathcal{C})}$ along with a higher homotopy γ between the homotopies $\beta \circ \eta$ and $\eta \circ \alpha$. We expect that these homotopies can be constructed using the universal properties of respectively $L(\mathcal{C})$ and \mathcal{C} , by mapping into some other homotopical gluing models. The weak term lifting property also follows from the existence of these homotopies.

Thus, we have essentially reduced the proof of the Morita equivalence between weakly stable and strictly stable structures to the construction of three homotopical gluing models.

References

- 1 Steve Awodey and Michael A. Warren. Homotopy theoretic models of identity types. *Mathematical Proceedings of the Cambridge Philosophical Society*, 146(1):45–55, 2009. doi: 10.1017/S03050004108001783.
- 2 Martin E. Bidlingmaier. An interpretation of dependent type theory in a model category of locally cartesian closed categories. *CoRR*, abs/2007.02900, 2020. URL: <https://arxiv.org/abs/2007.02900>, arXiv:2007.02900.
- 3 Rafaël Bocquet. Coherence of strict equalities in dependent type theories. *CoRR*, abs/2010.14166, 2020. URL: <https://arxiv.org/abs/2010.14166>, arXiv:2010.14166.
- 4 Aurelio Carboni and Peter Johnstone. Connected limits, familial representability and artin glueing. *Mathematical Structures in Computer Science*, 5(4):441–459, 1995. doi:10.1017/S0960129500001183.
- 5 Aurelio Carboni and Peter Johnstone. Corrigenda for ‘connected limits, familial representability and artin glueing’. *MSCS. Mathematical Structures in Computer Science*, 14, 02 2004. doi: 10.1017/S0960129503004080.
- 6 John Cartmell. Generalised algebraic theories and contextual categories. *Annals of Pure and Applied Logic*, 32:209–243, 1986. URL: <https://www.sciencedirect.com/science/article/pii/0168007286900539>, doi:[https://doi.org/10.1016/0168-0072\(86\)90053-9](https://doi.org/10.1016/0168-0072(86)90053-9).
- 7 Simon Castellan, Pierre Clairambault, and Peter Dybjer. Categories with families: Untyped, simply typed, and dependently typed. In *Joachim Lambek: The Interplay of Mathematics, Logic, and Linguistics*, pages 135–180. Springer, 2021.
- 8 Pierre-Louis Curien. Substitution up to Isomorphism. *Fundam. Informaticae*, 19(1/2):51–85, 1993.

- 9 Pierre-Louis Curien, Richard Garner, and Martin Hofmann. Revisiting the categorical interpretation of dependent type theory. *Theor. Comput. Sci.*, 546:99–119, 2014. doi:10.1016/j.tcs.2014.03.003.
- 10 Peter Dybjer. Internal type theory. In Stefano Berardi and Mario Coppo, editors, *Types for Proofs and Programs, International Workshop TYPES'95, Torino, Italy, June 5-8, 1995, Selected Papers*, volume 1158 of *Lecture Notes in Computer Science*, pages 120–134. Springer, 1995. doi:10.1007/3-540-61780-9_66.
- 11 Nicola Gambino and Richard Garner. The identity type weak factorisation system. *Theor. Comput. Sci.*, 409(1):94–109, 2008. doi:10.1016/j.tcs.2008.08.030.
- 12 Nicola Gambino and Simon Henry. Towards a constructive simplicial model of Univalent Foundations. *Journal of the London Mathematical Society*, 105, 2022.
- 13 Joseph A. Goguen. What is unification? - a categorical view of substitution, equation and solution. In *Resolution of Equations in Algebraic Structures, Volume 1: Algebraic Techniques*, pages 217–261. Academic, 1989.
- 14 Martin Hofmann. On the interpretation of type theory in locally cartesian closed categories. In Leszek Pacholski and Jerzy Tiuryn, editors, *Computer Science Logic, 8th International Workshop, CSL '94, Kazimierz, Poland, September 25-30, 1994, Selected Papers*, volume 933 of *Lecture Notes in Computer Science*, pages 427–441. Springer, 1994. doi:10.1007/BFb0022273.
- 15 Martin Hofmann. *Syntax and semantics of dependent types*, pages 13–54. Springer London, London, 1997. doi:10.1007/978-1-4471-0963-1_2.
- 16 Valery Isaev. Model structures on categories of models of type theories. *Mathematical Structures in Computer Science*, 28:1695–1722, 2017.
- 17 Valery Isaev. Morita equivalences between algebraic dependent type theories. *CoRR*, abs/1804.05045, 2018. URL: <http://arxiv.org/abs/1804.05045>, arXiv:1804.05045.
- 18 Valery Isaev. Indexed type theories. *Math. Struct. Comput. Sci.*, 31(1):3–63, 2021. doi:10.1017/S0960129520000092.
- 19 Chris Kapulkin and Peter Lumsdaine. The homotopy theory of type theories. *Advances in Mathematics*, 337, 09 2016. doi:10.1016/j.aim.2018.08.003.
- 20 Krzysztof Kapulkin and Peter Lumsdaine. Homotopical inverse diagrams in categories with attributes. *Journal of Pure and Applied Algebra*, 225:106563, 04 2021. doi:10.1016/j.jpaa.2020.106563.
- 21 Krzysztof Kapulkin and Peter LeFanu Lumsdaine. The simplicial model of Univalent Foundations (after Voevodsky). *Journal of the European Mathematical Society*, 23(6):2071–2126, 2021.
- 22 Peter LeFanu Lumsdaine and Michael A. Warren. The local universes model: An overlooked coherence construction for dependent type theories. *ACM Trans. Comput. Log.*, 16(3):23:1–23:31, 2015. doi:10.1145/2754931.
- 23 Paige Randall North. Identity types and weak factorization systems in Cauchy complete categories. *Math. Struct. Comput. Sci.*, 29(9):1411–1427, 2019. doi:10.1017/S0960129519000033.
- 24 J. A. Robinson. A machine-oriented logic based on the resolution principle. *J. ACM*, 12(1):23–41, January 1965. doi:10.1145/321250.321253.
- 25 Michael Shulman. Univalence for inverse diagrams and homotopy canonicity. *Mathematical Structures in Computer Science*, 25(5):1203–1277, 2015. doi:10.1017/S0960129514000565.
- 26 Taichi Uemura. A general framework for the semantics of type theory. *CoRR*, abs/1904.04097, 2019. URL: <http://arxiv.org/abs/1904.04097>, arXiv:1904.04097.
- 27 Taichi Uemura. *Abstract and concrete type theories*. PhD thesis, Institute for Logic, Language and Computation, 2021. URL: <https://dare.uva.nl/search?identifier=41ff0b60-64d4-4003-8182-c244a9afab3b>.
- 28 Benno van den Berg. Path categories and propositional identity types. *ACM Trans. Comput. Log.*, 19(2):15:1–15:32, 2018. doi:10.1145/3204492.